

# $V = \text{Ultimate-}L$ and the Ultrapower Axiom

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## Beyond the basic axioms: large cardinal axioms

### Sharpening the conception of $V$

- ▶ The ZFC axioms are naturally augmented by additional axioms which assert the existence of “very large” infinite sets.
  - ▶ Such axioms assert the existence of **large cardinals**.

These large cardinals include:

- ▶ Measurable cardinals
- ▶ Strong cardinals
- ▶ Woodin cardinals
- ▶ Superstrong cardinals
- ▶ Supercompact cardinals
- ▶ Extendible cardinals
- ▶ Huge cardinals
- ▶  $\omega$ -huge cardinals
- ▶ Axiom  $I_0$  cardinals

# Supercompact cardinals

Suppose  $\kappa < \lambda$  are uncountable cardinals.

- ▶  $\mathcal{P}_\kappa(\lambda) = \{\sigma \subset \lambda \mid |\sigma| < \kappa\}$ .
- ▶ Suppose  $U$  is an ultrafilter on  $I$  where  $I = \mathcal{P}_\kappa(\lambda)$ .
  - ▶  $U$  is  $\kappa$ -**complete** if  $U$  is closed under intersections of cardinality less than  $\kappa$ .
  - ▶  $U$  is **fine** if for all  $\alpha < \lambda$ ,  $\{\sigma \in \mathcal{P}_\kappa(\lambda) \mid \alpha \in \sigma\} \in U$ .
  - ▶  $U$  is **normal** if for all  $F : \mathcal{P}_\kappa(\lambda) \rightarrow \lambda$ , if

$$\{\sigma \in \mathcal{P}_\kappa(\lambda) \mid F(\sigma) \in \sigma\} \in U$$

then there exists  $X \in U$  such that  $F \upharpoonright X$  is constant.

## Definition (Reinhardt, Solovay:1967)

Suppose  $\kappa$  is an uncountable cardinal.

- ▶ Then  $\kappa$  is **supercompact** if for all  $\lambda > \kappa$  there is a  $\kappa$ -complete normal fine ultrafilter  $U$  on  $\mathcal{P}_\kappa(\lambda)$ .

# Strongly compact cardinals

## Definition (Keisler-Tarski:1963)

Suppose that  $\kappa$  is an uncountable regular cardinal. Then  $\kappa$  is a **strongly compact cardinal** if for each  $\lambda > \kappa$  there exists an ultrafilter  $U$  on  $\mathcal{P}_\kappa(\lambda)$  such that:

1.  $U$  is a  $\kappa$ -complete ultrafilter,
2.  $U$  is a fine ultrafilter.

▶ One is just dropping the normality requirement.

## Theorem (Menas:1976)

*Suppose  $\kappa$  is a measurable cardinal and that  $\kappa$  is a limit of strongly compact cardinals.*

▶ *Then  $\kappa$  is a strongly compact cardinal.*

- ▶ Every supercompact cardinal is a strongly compact cardinal.
- ▶ The Menas Theorem shows the converse can naturally fail:
  - ▶ The least measurable cardinal which is a limit of supercompact cardinals is **not** a supercompact cardinal.

# Solovay's conjecture

## Conjecture (Solovay)

*The following are equiconsistent.*

1. ZFC + “There is a supercompact cardinal”.
2. ZFC + “There is a strongly compact cardinal”.

- ▶ This is one of the central problems of the Inner Model Program.

*The Menas Theorem leaves open the possibility that the following might be equivalent.*

1. *There is a supercompact cardinal.*
2. *There is a strongly compact cardinal.*

# The Identity Crisis Theorem of Magidor

## Lemma

*Suppose that  $\kappa$  is a supercompact cardinal. Then*

- ▶  *$\kappa$  is a limit of measurable cardinals.*

## Theorem (Magidor:1976)

*Suppose  $\kappa$  is a strongly compact cardinal. Then there is a (class) generic extension of  $V$  in which:*

- ▶  *$\kappa$  is a strongly compact cardinal.*
- ▶  *$\kappa$  is the **only** measurable cardinal.*

As a consequence:

- ▶ Solovay's Conjecture looks extremely difficult to solve.

## Conjecture (Magidor)

*The following are **not** equiconsistent.*

1. ZFC + "There is a supercompact cardinal".
2. ZFC + "There is a strongly compact cardinal".

## Close embeddings and finitely generated models

### Definition

Suppose that  $M, N$  are transitive sets,  $M \models \text{ZFC}$ , and that

$$\pi : M \rightarrow N$$

is an elementary embedding. Then  $\pi$  is **close** to  $M$  if for each  $X \in M$  and each  $a \in \pi(X)$ ,

$$\{Z \in \mathcal{P}(X) \cap M \mid a \in \pi(Z)\} \in M.$$

### Definition

Suppose that  $N$  is a transitive set such that

$$N \models \text{ZFC} + "V = \text{HOD}."$$

Then  $N$  is **finitely generated** if there exists  $a \in N$  such that every element of  $N$  is definable in  $N$  from  $a$ .

# Why close embeddings?

## Lemma

*Suppose that  $M$  is a transitive set,*

$$M \models \text{ZFC} + "V = \text{HOD}",$$

*and that  $M$  is finitely generated.*

▶ *Suppose that  $N$  is a transitive set and*

▶  $\pi_0 : M \rightarrow N$

▶  $\pi_1 : M \rightarrow N$

*are elementary embeddings each of which is close to  $M$ .*

▶ *Then  $\pi_0 = \pi_1$ .*

▶ Without the requirement of closeness, the conclusion that  $\pi_0 = \pi_1$  can fail.



# Weak Comparison

## Definition

Suppose that  $V = \text{HOD}$ . Then **Weak Comparison** holds if for all  $X, Y \prec_{\Sigma_2} V$  the following hold where  $M_X$  is the transitive collapse of  $X$  and  $M_Y$  is the transitive collapse of  $Y$ .

- ▶ Suppose that  $M_X$  and  $M_Y$  are finitely generated models of ZFC,  $M_X \neq M_Y$ , and
  - ▶  $M_X \cap \mathbb{R} = M_Y \cap \mathbb{R}$ .
- ▶ Then there exists a transitive set  $M^*$  and elementary embeddings
  - ▶  $\pi_X : M_X \rightarrow M^*$
  - ▶  $\pi_Y : M_Y \rightarrow M^*$such that  $\pi_X$  is close to  $M_X$  and  $\pi_Y$  is close to  $M_Y$ .

- ▶ Weak Comparison holds in all the inner models which have been constructed in the Inner Model Program.
  - ▶ It is a simple consequence of the incredible structure these models have.

# Goldberg's Ultrapower Axiom

## Notation

Suppose that  $N \models \text{ZFC}$  is an inner model of ZFC,  $U \in N$  and  
 $N \models$  “ $U$  is a countable complete ultrafilter”

- ▶  $N_U$  denotes the transitive collapse of  $\text{Ult}_0(N, U)$
- ▶  $j_U^N : N \rightarrow N_U$  denotes the associated ultrapower embedding.

## Definition (The Ultrapower Axiom)

Suppose that  $U$  and  $W$  are countably complete ultrafilters. Then there exist  $W^* \in V_U$  and  $U^* \in V_W$  such that the following hold.

- (1)  $V_U \models$  “ $W^*$  is a countable complete ultrafilter”.
- (2)  $V_W \models$  “ $U^*$  is a countable complete ultrafilter”.
- (3)  $(V_U)_{W^*} = (V_W)_{U^*}$ .
- (4)  $j_{W^*}^{V_U} \circ j_U^V = j_{U^*}^{V_W} \circ j_W^V$ .

- ▶ If  $V = \text{HOD}$  then (3) implies (4).

## Weak Comparison and the Ultrapower Axiom

- ▶ The Ultrapower Axiom simply asserts that amalgamation holds for the ultrapowers of  $V$  by countably complete ultrafilters.
- ▶ If there are no measurable cardinals then the Ultrapower Axiom holds trivially
  - ▶ since every countably complete ultrafilter is principal.

### Theorem (Goldberg)

*Suppose that  $V = \text{HOD}$  and that there exists*

$$X \prec_{\Sigma_2} V$$

*such that  $M_X \models \text{ZFC}$  where  $M_X$  is the transitive collapse of  $X$ .  
Suppose that Weak Comparison holds.*

- ▶ *Then the Ultrapower Axiom holds.*
- ▶ If  $X$  does not exist then Weak Comparison holds vacuously.
- ▶ Assuming large cardinals exist then  $X$  must exist.

# The Ultrapower Axiom and strongly compact cardinals

## Theorem (Goldberg)

*Assume the Ultrapower Axiom and that for some  $\kappa$ :*

- ▶  *$\kappa$  is a strongly compact cardinal.*
- ▶  *$\kappa$  is not a supercompact cardinal.*

*Then  $\kappa$  is a measurable limit of supercompact cardinals.*

- ▶ The Ultrapower Axiom resolves the “identity crisis” .
  - ▶ By the Menas Theorem, this resolution is best possible.

## Corollary (Goldberg)

*The following are equiconsistent, and in fact equivalent.*

1. ZFC + UA + “There is a supercompact cardinal” .
2. ZFC + UA + “There is a strongly compact cardinal” .

# The power of the Ultrapower Axiom

## Theorem (Goldberg)

*Assume the Ultrapower Axiom and that  $\kappa$  is supercompact. Then*

- ▶ *Suppose  $A \subset \kappa$  codes  $V_\kappa$ . Then  $V = \text{HOD}_A$ .*
  - ▶  *$V$  is a generic extension of HOD.*
- ▶ *GCH holds at all cardinals  $\gamma \geq \kappa$ .*

## Theorem (Goldberg)

*Assume the Ultrapower Axiom. Then the following are equivalent.*

1. *There is a supercompact cardinal.*
2. *There is a cardinal  $\kappa$  such that for all  $\lambda$ , there is a countably complete ultrafilter  $U$  such that  $j_U(\kappa) > \lambda$  where*

$$j_U : V \rightarrow M_U$$

*is the ultrapower embedding.*

# Descriptive Set Theory: Prewellorderings and scales

## Definition (ZF)

A preorder  $\leq$  on  $A \subseteq \mathbb{R}$  is a **prewellordering** if every nonempty subset of  $A$  has a  $\leq$ -least element.

- ▶ A prewellorder on  $A$  is simply an equivalence relation on  $A$  together with a wellordering of the equivalence classes.

## Definition (ZF)

(**Moschovakis:1971**) Suppose  $A \subseteq \mathbb{R}$ . A **scale** on  $A$  is a sequence

$$\langle \leq_i : i < \omega \rangle$$

of prewellorderings on  $A$  such that the following hold.

1. For all  $x, y \in A$ , for all  $i < \omega$ , if  $x \leq_{i+1} y$  then  $x \leq_i y$ .
2. Suppose  $\langle \sigma_k : k < \omega \rangle$  is an infinite sequence of nonempty subsets of  $A$ , with limit  $x \in \mathbb{R}$ , such that
  - ▶ For all  $i < \omega$ ,  $y \sim_i z$  for all  $y, z \in \bigcup_{k \geq i} \sigma_k$ .

Then  $x \in A$  and for all  $i < \omega$ ,  $x \leq_i y$  for all  $y \in \bigcup_{k \geq i} \sigma_k$ .

# Beyond the Borel sets: The Universally Baire sets

## Definition (Feng-Magidor-Woodin:1991)

A set  $A \subseteq \mathbb{R}$  is **universally Baire** if:

- ▶ For all topological spaces  $\Omega$
- ▶ For all continuous functions  $\pi : \Omega \rightarrow \mathbb{R}$ ;

the preimage of  $A$  by  $\pi$  has the property of Baire in the space  $\Omega$ .

- ▶ Every Borel set is universally Baire.

## Lemma

*Suppose  $A \subseteq \mathbb{R}$  is universally Baire. Then  $A$  is Lebesgue measurable and has the property of Baire.*

- ▶ It is consistent with ZFC that every set  $A \subseteq \mathbb{R}$  is the image of a universally Baire set by a continuous function  $f : \mathbb{R} \rightarrow \mathbb{R}$ .
  - ▶ For example, this holds if  $V = L$ .

# The influence of large cardinals

- ▶ Universally Baire subsets of  $\mathbb{R} \times \mathbb{R}$  are defined in exactly the same way as the universally Baire subsets of  $\mathbb{R}$ .

## Theorem

*Assume there is a proper class of Woodin cardinals. Then the following hold.*

1. (Woodin) *Suppose  $A$  is universally Baire. Then*
  - ▶ *Every set  $B \in \mathcal{P}(\mathbb{R}) \cap L(A, \mathbb{R})$  is universally Baire.*
2. (Steel) *Suppose  $A$  is universally Baire. Then*
  - ▶  *$A$  has a universally Baire scale.*
3. (Martin, Steel) *Suppose  $A$  is universally Baire. Then*
  - ▶  *$A$  is determined.*



# Transfinite Borel sets

## $\infty$ -Borel Codes

- ▶ All increasing pairs of rational numbers, are  $\infty$ -Borel codes.
- ▶ If  $S$  is an  $\infty$ -Borel code then  $(0, S)$  is an  $\infty$ -Borel code.
- ▶ A transfinite sequence,  $\langle S_\alpha : \alpha < \eta \rangle$ , is an  $\infty$ -Borel code if  $S_\alpha$  is an  $\infty$ -Borel code for all  $\alpha < \eta$ .

## The interpretation of an $\infty$ -Borel Code $S$ as a set $A_S \subseteq \mathbb{R}$

- ▶ If  $S \in \mathbb{Q} \times \mathbb{Q}$  then  $A_S$  is the interval  $[r, s]$
  - ▶ If  $S = (0, T)$  then  $A_S = \mathbb{R} \setminus A_T$ .
  - ▶ If  $S = \langle S_\alpha : \alpha < \eta \rangle$  then  $A_S = \bigcup_{\alpha < \eta} A_{S_\alpha}$ .
- 
- ▶ A set  $X \subseteq \mathbb{R}$  is  $\infty$ -Borel if  $X = A_S$  for some  $\infty$ -Borel code,  $S$ .

## $\infty$ Borel sets without the Axiom of Choice

- ▶ Assuming the Axiom of Choice, every set  $X \subseteq \mathbb{R}$  is  $\infty$ Borel.
- ▶ One cannot prove in ZF that even all the  $\Sigma_3^1$ -sets are  $\infty$ Borel.

### Lemma (ZF)

*Suppose  $A \subseteq \mathbb{R}$  and there is a scale on  $A$ .*

- ▶ *Then  $A$  is  $\infty$ Borel.*

### Lemma (ZF)

*Assume  $A \subseteq \mathbb{R}$  is  $\infty$ Borel and that there is no uncountable set  $X \subseteq \mathbb{R}$  such that  $X$  can be wellordered.*

- ▶ *Then  $A$  is Lebesgue measurable and has the property of Baire.*

# A technical refinement of AD

## Definition

$\text{AD}^+$  **holds** if:

- ▶ Suppose there is a prewellordering of  $\mathbb{R}$  of length  $\theta$  and

$$\pi : \theta^\omega \rightarrow \omega^\omega$$

is continuous. Then

- ▶ For each set  $A \subseteq \omega^\omega$ , the set  $\pi^{-1}[A] \subseteq \theta^\omega$  is determined:
  - ▶ where in this game, the players alternate choosing ordinals  $\alpha$  such that  $\alpha < \theta$ .
- ▶ Every set  $A \subseteq \mathbb{R}$  is  ${}^\infty\text{Borel}$ .
- ▶  $\text{AD}^+$  implies AD
  - ▶ Just use the identity function  $\pi : \omega^\omega \rightarrow \omega^\omega$ .
- ▶ (Conjecture) AD implies  $\text{AD}^+$ .

# The universally Baire sets and $AD^+$

## Lemma (Solovay)

*Suppose  $A \subseteq \mathbb{R}$ . Then the following are equivalent.*

1. *There is a wellordering of  $\mathbb{R}$  in  $L(A, \mathbb{R})$ .*
2. *For every set  $B \subseteq \mathbb{R}$ , if  $B \in L(A, \mathbb{R})$  then  $B$  has a scale in  $L(A, \mathbb{R})$ .*

- ▶ The equivalence fails if one just requires that  $B$  is  ${}^\infty$ Borel in  $L(A, \mathbb{R})$ , for all  $B \subseteq \mathbb{R}$ .

## Theorem

*Suppose that there is a proper class of Woodin cardinals and that  $A \subseteq \mathbb{R}$  is universally Baire. Then*

$$L(A, \mathbb{R}) \models AD^+.$$

- ▶  $L(\mathbb{R}) \models AD$  if and only if  $L(\mathbb{R}) \models AD^+$ .

## HOD in $AD^+$ models

The first connection of AD with large cardinals:

### Theorem (Solovay)

*Suppose  $A \subseteq \mathbb{R}$  and that  $L(A, \mathbb{R}) \models AD$ . Then  $\omega_1$  is a measurable cardinal in  $\text{HOD}^{L(A, \mathbb{R})}$ .*

### Theorem

*Suppose  $A \subseteq \mathbb{R}$  and that  $L(A, \mathbb{R}) \models AD$ . Let*

- ▶  $\Theta^{L(A, \mathbb{R})}$  be the supremum of the lengths of all prewellorderings of  $\mathbb{R}$  which belong to  $L(A, \mathbb{R})$ .

*Then  $\Theta^{L(A, \mathbb{R})}$  is a Woodin cardinal in  $\text{HOD}^{L(A, \mathbb{R})}$ .*

### Theorem

*Suppose  $A \subseteq \mathbb{R}$  and that  $L(A, \mathbb{R}) \models AD^+$ . Then  $\omega_1$  is the least measurable cardinal in  $\text{HOD}^{L(A, \mathbb{R})}$ .*

This motivates the natural conjecture that if  $L(A, \mathbb{R}) \models AD^+$  then

- ▶  $\text{HOD}^{L(A, \mathbb{R})}$  is a “canonical model”.

# The Inner Model Program

## Theorem (Scott:1961)

*Assume  $V = L$ . Then there are no measurable cardinals.*

- ▶ The **Inner Model Program** seeks to construct enlargements of  $L$  in which large cardinals can exist.
  - ▶ These enlargements are **core models**.
  - ▶ The stronger the large cardinal notion the harder the problem.

## A remarkable convergence and a surprise (1988-96)

Assume  $\text{AD}^{L(\mathbb{R})}$  and let  $\Theta$  be the supremum of the lengths of the prewellorderings in  $L(\mathbb{R})$ .

- ▶ (Steel)  $\text{HOD}^{L(\mathbb{R})} \cap V_\Theta$  is a core model.
- ▶ (Woodin)  $\text{HOD}^{L(\mathbb{R})}$  is **not** a core model,
  - ▶ it is a **strategic-core model**.
- ▶ A new class of enlargements of  $L$  is naturally revealed by  $\text{AD}^+$ 
  - ▶ strategic-core models.

# The axiom $V = \text{Ultimate-L}$

## The axiom for $V = \text{Ultimate-L}$

- ▶ There is a proper class of Woodin cardinals.
- ▶ For each  $\Sigma_2$ -sentence  $\varphi$ , if  $\varphi$  holds in  $V$  then there is a universally Baire set  $A \subseteq \mathbb{R}$  such that

$$\text{HOD}^{L(A, \mathbb{R})} \models \varphi.$$

## Theorem

*Assume  $V = \text{Ultimate-L}$ . Then the following hold.*

1. CH.
2.  $V = \text{HOD}$ .
3.  $V$  is not a generic extension of any inner model.

# Scales and Suslin cardinals

## Definition

Suppose  $A \subseteq \mathbb{R}$  and  $\lambda$  is an infinite cardinal. Then  $A$  is  $\lambda$ -**Suslin** if there is a scale on  $A$  with associated prewellorderings of length at most  $\lambda$ .

## Definition

Suppose  $\lambda$  is an infinite cardinal. Then  $\lambda$  is a **Suslin cardinal** if there exists a set  $A \subseteq \mathbb{R}$  such that

- ▶  $A$  is  $\lambda$ -Suslin.
  - ▶  $A$  is not  $\gamma$ -Suslin for any  $\gamma < \lambda$ .
- 
- ▶ (ZF)  $\omega$  and  $\omega_1$  are Suslin cardinals.



# $AD^+$ and Suslin cardinals

## Theorem

*Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models AD$ . Then the following are equivalent.*

1.  $L(A, \mathbb{R}) \models AD^+$ .
2.  $L(A, \mathbb{R}) \models$  “There is a largest Suslin cardinal”.

- ▶ This theorem is one of the many equivalences of  $AD^+$  in the context of  $AD$ , which have emerged over that last 30 years.

# The largest Suslin cardinal in $L(A, \mathbb{R})$

## Notation

Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Then

- ▶  $\delta_A$  is the largest Suslin cardinal of  $L(A, \mathbb{R})$ .
- ▶  $\Theta_A = \Theta^{L(A, \mathbb{R})}$ .

## Theorem

Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Then

- ▶  $\delta_A$  is strongly inaccessible in  $\text{HOD}^{L(A, \mathbb{R})}$ .
- ▶  $\text{HOD}^{L(A, \mathbb{R})} \upharpoonright_{\delta_A} \prec_{\Sigma_2} \text{HOD}^{L(A, \mathbb{R})} \upharpoonright_{\Theta_A}$ .

## More notation

- ▶  $H_A = \text{HOD}^{L(A, \mathbb{R})} \upharpoonright_{\delta_A}$ .
- ▶  $H_A \models \text{ZFC}$ .

# LSA models

## Definition

Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Then  $L(A, \mathbb{R})$  is an **LSA model** if for all  $\gamma < \delta_A$ , if

$$\pi : \mathcal{P}(\gamma) \cap L(A, \mathbb{R}) \rightarrow \delta_A$$

is a function such that  $\pi \in L(A, \mathbb{R})$  and such that  $\pi$  is OD in  $L(A, \mathbb{R})$ , then the range of  $\pi$  is bounded.

## Theorem

*Suppose that  $A \subseteq \mathbb{R}$ ,  $L(A, \mathbb{R}) \models \text{AD}^+$ , and that  $L(A, \mathbb{R})$  is an LSA model. Then*

$$H_A \models \text{ZFC} + "V = \text{HOD}"$$

- ▶ It is conjectured that one can drop the requirement that  $L(A, \mathbb{R})$  be an LSA model.

# LSA models and the Ultrapower Axiom

## Theorem

*Suppose that  $A \subseteq \mathbb{R}$ ,  $L(A, \mathbb{R}) \models \text{AD}^+$ , and that  $L(A, \mathbb{R})$  is an LSA model. Then*

$$H_A \models \text{ZFC} + \text{Weak Comparison.}$$

- ▶ The proof uses the theory of iteration trees from the Inner Model Program.
- ▶ Thus by Goldberg's Theorem:

## Theorem

*Suppose that  $A \subseteq \mathbb{R}$ ,  $L(A, \mathbb{R}) \models \text{AD}^+$ , and that  $L(A, \mathbb{R})$  is an LSA model. Then*

$$H_A \models \text{ZFC} + \text{Ultrapower Axiom.}$$

- ▶ But what about  $\text{HOD}^{L(A, \mathbb{R})}$ ?

## $H_A$ versus $\text{HOD}^{L(A, \mathbb{R})} \upharpoonright \Theta_A$

Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Then

- ▶  $(H_A =) \text{HOD}^{L(A, \mathbb{R})} \upharpoonright \delta_A \prec_{\Sigma_2} \text{HOD}^{L(A, \mathbb{R})} \upharpoonright \Theta_A$ .

As a corollary, using Goldberg's analysis of the Ultrapower Axiom:

### Theorem

*Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Then the following are equivalent.*

1.  $H_A \models \text{Ultrapower Axiom}$ .
2.  $\text{HOD}^{L(A, \mathbb{R})} \models \text{Ultrapower Axiom}$ .

### Theorem

*Suppose that  $A \subseteq \mathbb{R}$ ,  $L(A, \mathbb{R}) \models \text{AD}^+$ , and that  $L(A, \mathbb{R})$  is an LSA model. Then*

$$\text{HOD}^{L(A, \mathbb{R})} \models \text{Ultrapower Axiom}.$$

# The general case

## Notation

Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ .

- ▶  $T_A$  denotes the  $\Sigma_1$ -theory of  $L(A, \mathbb{R})$  with parameters from  $\delta_A \cup \{\mathbb{R}\}$ .

## Theorem

*Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Then (in the language of Set Theory with an additional predicate)*

- ▶  $(H_A, T_A) \models \text{ZFC} + "V = \text{HOD}"$ .
- ▶  $(H_A, T_A) \models \text{ZFC} + \text{Weak Comparison}$ .

## Theorem

*Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Then*

$$\text{HOD}^{L(A, \mathbb{R})} \models \text{ZFC} + \text{Ultrapower Axiom}.$$

- ▶ The proof uses the theory of homogeneous trees from  $\text{AD}^+$ .

# $V = \text{Ultimate-}L$ and the Ultrapower Axiom

## Theorem (Goldberg)

*The following are equivalent.*

1. Ultrapower Axiom.
2. For all  $\gamma > \omega$ , if  $\gamma = |V_\gamma|$  then
$$V_\gamma \models \text{Ultrapower Axiom.}$$

- ▶ Thus the negation of Ultrapower Axiom is expressible by a  $\Sigma_2$ -sentence
  - ▶ which cannot reflect into  $\text{HOD}^{L(A, \mathbb{R})}$ .

## Theorem

*Assume  $V = \text{Ultimate-}L$ . Then the Ultrapower Axiom holds.*

## Another application of the machinery for the general case

### Theorem

Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Suppose that

$\text{HOD}^{L(A, \mathbb{R})} \models “U \text{ is a countably complete ultrafilter}”$ .

Then there exists  $W \in L(A, \mathbb{R})$  such that

- ▶  $L(A, \mathbb{R}) \models “W \text{ is a countably complete ultrafilter}”$ .
- ▶  $U = W \cap \text{HOD}^{L(A, \mathbb{R})}$ .
  
- ▶ The conclusion is equivalent to  $U$  is countably complete in  $V$ :
  - ▶ If  $X \subset U$  is countable then  $\bigcap X \neq \emptyset$ .
  
- ▶ This proves the HOD-Ultrafilter Conjecture.



# Large cardinals in HOD

## Theorem

Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Suppose that  $\lambda < \Theta_A$  and  $\lambda$  is an uncountable cardinal in  $L(A, \mathbb{R})$ .

Let  $S$  be the set of  $\kappa < \lambda$  such that

- ▶  $\text{cof}(\kappa) = \omega$ ,
- ▶  $\kappa$  is strongly inaccessible in  $\text{HOD}^{L(A, \mathbb{R})}$ .

Then  $S$  is cofinal in  $\lambda$ .

## Theorem

Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Suppose that  $\kappa < \lambda$  and that  $\lambda$  is a cardinal in  $L(A, \mathbb{R})$ . Then

$$\text{HOD}^{L(A, \mathbb{R})} \models \text{“}\kappa \text{ is not } \gamma\text{-strongly compact for all } \gamma < \lambda\text{”}.$$

Thus:

$$\text{HOD}^{L(A, \mathbb{R})} \upharpoonright_{\Theta_A} \models \text{“There are no strongly compact cardinals”}.$$

## A deeper connection?

### Definition (Hamkins)

1. An inner model  $N$  is a **ground** if  $V = N[G]$ .
2. The **mantle** of  $V$  is the intersection of all the grounds of  $V$ .
3. **Ground Axiom**: The only ground of  $V$  is  $V$ .

### Theorem (Usuba)

*Suppose there is an extendible cardinal and that  $\mathbb{M}$  is the mantle of  $V$ . Then  $\mathbb{M}$  is a ground of  $V$ .*

### Mantle Conjecture

*Assume there is an extendible cardinal and that*

$$V \models \text{Ultrapower Axiom.}$$

*Then  $\mathbb{M} \models$  “ $V = \text{Ultimate-L}$ ”.*

- ▶ The Mantle Conjecture implies (assuming there is an extendible cardinal) that the axiom  $V = \text{Ultimate-L}$  is **equivalent** to:
  - ▶ Ultrapower Axiom + Ground Axiom.

# The Ultimate- $L$ Program

One central goal of the Ultimate- $L$  Program is to prove the following conjecture.

- ▶ This would also likely achieve many of the current goals of the Inner Model Program.

## Conjecture

*Suppose that  $A \subseteq \mathbb{R}$  and  $L(A, \mathbb{R}) \models \text{AD}^+$ . Then*

- ▶  $\text{HOD}^{L(A, \mathbb{R})}$  *is a strategic-core model.*

The theorem that

$$\text{HOD}^{L(A, \mathbb{R})} \models \text{Ultrapower Axiom}$$

confirms that Goldberg's Ultrapower Axiom will play a key role in the Ultimate- $L$  Program.

## My earliest collaboration with Ted

